



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Wayne V. Sorin

**Application No.: 09/811,365**

**Filed: March 16, 2001**

For: METHODS AND APPARATUS FOR  
MEASURING THE POWER  
SPECTRUM OF OPTICAL SIGNALS

Date of Allowance: October 21, 2003

Confirmation No.: 2223

**Examiner: Healy, Brian**

Art Unit: 2874

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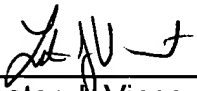
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 21, 2004

  
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Lester J. Vincent  
Reg. No. 31,460

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

Substitute for Form 1449/PTO <div style="text-align: center; font-size: 1.2em; font-weight: bold;">           INFORMATION DISCLOSURE            STATEMENT BY APPLICANT  <i>(use as many sheets as necessary)</i> </div>				<b>C mplete if Known</b>	
Application Number		09/811,365			
Filing Date		March 16, 2001			
First Named Inventor:		Wayne V. Sorin			
Art Unit		2874			
Examiner Name		Healy, Brian			
Attorney Docket Number		5489P038X			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,970,201	10/19/1999	Anthony et al.	
		US-	6,539,148 B1	3/25/2003	Kim et al.	
		US-	6,631,224 B1	10/7/2003	Sorin et al.	
		US-	6,640,027 B2	10/28/2003	Kim et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		KR 10-0265865	06/17/2000	Kim et al.		

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# Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change